



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2651

Applicant: Wada et al. Examiner: Unknown  
Serial No.: 09/911,143 Group Art Unit: 2651  
Filed: July 23, 2001 Docket: 10873.772US01  
Title: OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL RECORDING REPRODUCING  
APPARATUS AND OPTICAL RECORDING/ REPRODUCING METHOD

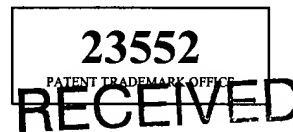
**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 12, 2003.

By: Jennifer Holden  
Name: Jennifer Holden

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Sir:

NOV 20 2003

We are transmitting herewith the attached:

Technology Center 2600

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 4 References
- ☒ Other: Japanese Office Action Mailed October 30, 2003
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Douglas P. Mueller  
Name: Douglas P. Mueller  
Reg. No. 30,300  
DPM/jh



IN 09/911,143

PATENT

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Applicant:	WADA et al.	Examiner:	Unknown
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By:

Name: Jennifer Holden

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

RECEIVED

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NOV 20 2003

Technology Center 2600

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

Japanese reference 8-315423 corresponds with U.S. 5,828,648.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

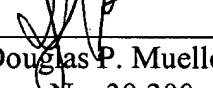
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

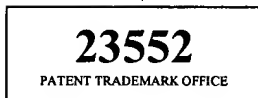
Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612) 332-5800

Dated: November 12, 2003

By:   
Douglas P. Mueller  
Reg. No. 30,300

DPM/jh



FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

10873.772US01

Application Number:

09/911,143

Applicant: WADA et al.

Filing Date: July 23, 2001

Group Art Unit: 2651

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,828,648	10.1998	Takasu et al.			

RECEIVED

NOV 20 2003

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	10-162432	06.1998	Japan			Abstract	
	8-315423	11.1996	Japan			See IDS	
	9-212917	08.1997	Japan			Abstract	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


23552

PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.